

U.S. Census Bureau



Mandatory AES for All Export Shipments



U S C E N S U S B U R E A U



Phase I – January 2003

- Exports of “Conflict Diamonds”
- HS Numbers 7102.10.0000, 7102.39.0010 and 7102.39.0050
- Internal Transaction Number (ITN) required on the commercial documentation



Phase II – May/June 2003

- Exports of items on the Commerce Control List (CCL)
- Exports of items on the United States Munitions List (USML)



New AES Requirements for CCL and USML Items

- 1 New Data Record for USML items
- 8 New Data Elements for USML items
- New License Codes for USML items
- 3 New Appendices for USML items
- Paper SED filing is not an Option for CCL or USML items



Commerce Control List (CCL)

- BIS License Codes Requiring the ECCN
 - C30 – Licenses
 - C31 - Special Comprehensive License (SCL)
 - C32 - No License Required (NLR) covered by entries on the CCL
 - C35 - Limited Value Shipments (LVS)
 - C36 - Shipments to B Countries (GBS)
 - C37 - Civil End Users (CIV)



Commerce Control List (CCL)

- ECCN Format = NANNN
- Must be a valid ECCN
- Incorrect Format or number will generate a fatal error



New AES Data Fields

- 8 New Data Elements for USML items
 - ODTc ITAR Exemption Number
 - ODTc Registration Number
 - ODTc Significant Military Equipment Indicator
 - ODTc Eligible Party Certification Indicator
 - ODTc USML Category Code
 - ODTc Unit of Measure
 - ODTc Quantity
 - ODTc License Line Number (Future)



AES Data Fields cont.

- ITAR Exemption Number- exempts the shipment from the requirements for a license or written authorization from ODTC.

Example: 123.17E

Refer to Appendix O

- Registration Number – assigned by ODTC authorizing you to export a particular item. The Registration Number must be active

Example: M-1234 or M12345

Codes include: M, F, G, B



AES Data Fields cont.

- Significant Military Equipment Indicator – Yes/No indicator. Refer to ODTC Regulations 22 CFR 120.7
- Eligible Party Certification Number – this is a two part process:
 - Self certification by USPPI for the exemption
Refer to ODTC 123.22c
 - Self certification by USPPI as an eligible party
Refer to ODTC Regulations 22 CFR 120.1c



AES Data Fields cont.

- USML Category Code – a means of classifying your export shipment
Acceptable Values 01-17 and 20-21
- Unit of Measure – refers to the ODTIC unit of measure of the article being exported
Two units of measure required



AES Data Fields cont.

- Quantity – refers to the total number of units reported as the unit of measure.
Two quantities required
- License Line Number – reserved for future use



Foreign Military Sales License Code S94

- DSP94 –Foreign Military Sales
- Must use Export Information Code “FS”
Foreign Military Sales
- If “FS” is not present, shipment rejected
- Acceptable license number format for license
code “S94” is “AA-A-AAA”
- If S94 license number is formatted differently,
shipment rejected



New AES Appendices

- ODTTC ITAR Exemption Number Appendix O
- ODTTC USML Category Code Appendix L
- ODTTC Unit of Measure Codes, Appendix W



Phase III – January 2004

- All Exports filed through AES
- Penalties
 - Civil – for failing to file or delayed filings
 - Criminal – knowingly failing to file or providing false or misleading information.



For More Information

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- *AESDirect*: www.aesdirect.gov
- Customs AES Site: www.customs.gov/aes
- Census Site: www.census.gov/foreign-trade

